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315342 U.S.PTO
10/728600



**NEW UTILITY
PATENT APPLICATION
TRANSMITTAL**
*(only for new nonprovisional applications under
37 CFR 1.53(b))*

| | |
|------------------------|---|
| Attorney Docket Number | 23230-08141 |
| First Named Inventor | Norikazu Endo |
| Title | Shortcut Names for Use in A Speech Recognition System |
| Express Mail Label No. | EV334259755US |

| APPLICATION ELEMENTS | | ACCOMPANYING APPLICATION PARTS | |
|--|--|---------------------------------------|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | | |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | 8. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>) | | |
| 3. <input checked="" type="checkbox"/> Specification Total Pages 30 | 9. <input type="checkbox"/> Power of Attorney or Authorization of Agent | | |
| (preferred arrangement set forth below) | | | |
| ■ Descriptive Title of the Invention | | | |
| ■ Cross Reference(s) to Related Case(s) | | | |
| ■ Statement Regarding Fed sponsored R & D | | | |
| ■ Background of the Invention | | | |
| ■ Brief Summary of the Invention | | | |
| ■ Brief Description of the Drawing(s) | | | |
| ■ Detailed Description | | | |
| ■ Claim or Claims | | | |
| ■ Abstract of the Disclosure | | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5 | 11. <input type="checkbox"/> Preliminary Amendment | | |
| 5. Oath or Declaration | 12. <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A | | |
| a. <input checked="" type="checkbox"/> New Declaration Total Pages 4 | <input type="checkbox"/> Copies of IDS Citation(s) | | |
| <input checked="" type="checkbox"/> Executed (original or copy) | | | |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> | 13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 14. <input checked="" type="checkbox"/> Return Postcard | | |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 15. <input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)" | | |
| | 16. <input type="checkbox"/> | | |
| | 17. <input type="checkbox"/> | | |
| ADDRESS TO: | | | |
| Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

00758

| | | | |
|---|--------------|-----------------------------------|-----------------------|
| <input checked="" type="checkbox"/> Customer Number | | | |
| Name (Print/Type) | Jae Won Song | Registration No. (Attorney/Agent) | 37 C.F.R. §10.9(b) |
| Signature | | | Date December 4, 2003 |

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **\$1,302.00**

| Compl te if Known | |
|----------------------|---------------|
| Application Number | Unassigned |
| Filing Date | Herewith |
| First Named Inventor | Norikazu Endo |
| Examiner Name | Unknown |
| Art Unit | Unknown |
| Attorney Docket No. | 23230-08141 |

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number **19-2555**

Deposit Account Name **Fenwick & West LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee | Fee | Fee | Fee | Fee Description | Fee Paid |
|---------------------|------|------------------|------|------------------------|---------------|
| Code | (\$) | Code | (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | 770.00 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | \$ 770.00 | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 33 | -20** = 13 | x 18.00 | = 234.00 |
| 6 | -3** = 3 | x 86.00 | = 258.00 |

Independent Claims

| Large Entity | | Small Entity | | Fee Description |
|---------------------|------|------------------|------|---|
| Fee | Fee | Fee | Fee | Fee Description |
| Code | (\$) | Code | (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | \$ 492.00 | | |

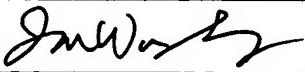
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------------|--------------|-----------------|-----------------|
| Fee | Fee | Fee | Fee |
| Code | (\$) | Code | (\$) |
| 1051 | 130 | 2051 | 65 |
| 1052 | 50 | 2052 | 25 |
| 1053 | 130 | 1053 | 130 |
| 1812 | 2,520 | 1812 | 2,520 |
| 1804 | 920* | 1804 | 920* |
| 1805 | 1,840* | 1805 | 1,840* |
| 1251 | 110 | 2251 | 55 |
| 1252 | 420 | 2252 | 210 |
| 1253 | 950 | 2253 | 475 |
| 1254 | 1,480 | 2254 | 740 |
| 1255 | 2,010 | 2255 | 1,005 |
| 1401 | 330 | 2401 | 165 |
| 1402 | 330 | 2402 | 165 |
| 1403 | 290 | 2403 | 145 |
| 1451 | 1,510 | 1451 | 1,510 |
| 1452 | 110 | 2452 | 55 |
| 1453 | 1,330 | 2453 | 665 |
| 1501 | 1,330 | 2501 | 665 |
| 1502 | 480 | 2502 | 240 |
| 1503 | 640 | 2503 | 320 |
| 1460 | 130 | 1460 | 130 |
| 1807 | 50 | 1807 | 50 |
| 1806 | 180 | 1806 | 180 |
| 8021 | 40 | 8021 | 40 |
| 1809 | 770 | 2809 | 385 |
| 1810 | 770 | 2810 | 385 |
| 1801 | 770 | 2801 | 385 |
| 1802 | 900 | 1802 | 900 |
| Other fee (specify) _____ | | | |
| SUBTOTAL (3) | | | \$ 40.00 |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

| Name (Print/Type) | Jae Won Song | Registration No. (Attorney/Agent) | 37 C.F.R. §10.9(b) | Complete (if applicable) Telephone: (650) 335-7164 |
|-------------------|---|--------------------------------------|--------------------|---|
| Signature |  | | Date | December 4, 2003 |

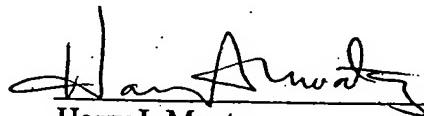
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Jae Won Song is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fenwick & West, LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fenwick & West, LLP, and a registered practitioner, who is a member of the law firm of Fenwick & West, LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Jae Won Song ceases to lawfully reside in the United States; (ii) Jae Won Song's employment with the law firm of Fenwick & West, LLP, ceases or is terminated, or (iii) Jae Won Song ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 3, 2004



Harry I. Moatz
Director of Enrollment and Discipline

| | | |
|---|----------------------|---|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Norikazu Endo |
| | Title | Shortcut Names for Use in a Speech Recognition System |
| | Atty Docket Number | 23230-08141 |

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 4, 2003
Date



Signature

Jae Won Song/37 C.F.R. §10.9(b)
Typed or printed name, Reg. No.:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**